

# Galois Theory - Week 7 - Normality, Separability and Fixed Fields

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# 1 Normality

## 1.1 Definition: Normality

An **algebraic field extension**  $M : K$  is **normal** if  $\forall \alpha \in M$ , the **minimal polynomial** of  $\alpha$  splits in  $M$ .  
(Definition 7.1.1)

## 1.2 Lemma: Normality from Irreducible Polynomials

Let  $M : K$  be an **algebraic extension**. Then,  $M : K$  is **normal** if and only if every **irreducible polynomial** over  $K$  either:

- has **no roots** in  $M$
- **splits** in  $M$

---

In other words,  $M : K$  is normal if any **irreducible polynomial** over  $K$  which has **at least** one root in  $M$  has **all** its roots in  $M$ .  
(Lemma 7.1.2)

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*Proof.* Assume that  $M : K$  is normal, and let  $f$  be an irreducible polynomial over  $K$ . Moreover, say that  $f$  has a root  $\alpha \in M$ . Then, since  $f$  is irreducible, the minimal polynomial of  $\alpha$  is  $f/c$ , where  $c \in K$  is the leading coefficient of  $f$ . But now,  $M : K$  is normal, so  $f/c$  must split in  $M$ , so  $f$  must split too. Hence, if  $M : K$  is normal, any irreducible polynomial over  $K$  with a root in  $M$  splits in  $M$ .

On the other hand, assume that every irreducible polynomial over  $K$  either has no roots in  $M$  or splits in  $M$ , and let  $\alpha \in M$ . Since  $M : K$  is algebraic,  $\alpha$  has a minimal polynomial over  $K$ . This minimal polynomial is irreducible, and since it has at least one root (namely  $\alpha$ ), by assumption it must split in  $M$ . But then, we have shown that any  $\alpha \in M$  has a minimal polynomial which splits in  $M$ , which is precisely the definition of a normal extension, so  $M : K$  is normal. □

### 1.2.1 Examples

- the prototypical example of a **non-normal** extension is:

$$\mathbb{Q}(\xi) : \mathbb{Q}$$

where  $\xi$  is the real root of  $t^3 - 2$ . Namely, take  $\xi$  itself, which has minimal polynomial  $t^3 - 2$ . The roots of  $t^3 - 2$  are:

$$\xi, \omega\xi, \omega^2\xi$$

where  $\omega = e^{2\pi i/3}$ . Clearly,  $t^3 - 2$  won't split in  $\mathbb{Q}(\xi)$ , since  $\omega \notin \mathbb{Q}(\xi) \subseteq \mathbb{R}$ . Alternatively, notice that  $t^3 - 2$  only has one root in  $\mathbb{Q}(\xi)$ , so even if it has a root, it doesn't split, so by the Lemma, it can't be normal.

- we will see that the **splitting field** of a (non-zero) polynomial is always normal

### 1.2.2 Exercises

1. **Prove that every extension of degree 2 is normal. This should remind you of the fact that every subgroup of index 2 is normal.**
2. [Exercise 7.1.4] **What happens if we drop the word “irreducible” from Lemma 7.1.2? Does it still hold?**

## 1.3 Normality and Splitting Fields

### 1.3.1 Theorem: Finite, Normal Fields are Splitting Fields

*Let  $M : K$  be a **field extension**. Then, for some non-zero  $f \in K[t]$ :*

$$M = SF_K(f) \iff M : K \text{ is **finite** and **normal**}$$

*(Theorem 7.1.5)*

*Proof.*

- (  $\Leftarrow$  ) Assume that  $M : K$  is finite and normal. We need to show that  $M$  is the splitting field of some non-zero polynomial  $f \in K[t]$ .

Since  $M : K$  is finite, then there exists a basis:

$$\alpha_1, \dots, \alpha_n$$

of  $M$  over  $K$ , such that:

$$M = K(\alpha_1, \dots, \alpha_n)$$

By finiteness, each  $\alpha_i$  is algebraic over  $K$ , since:

Let  $M : K$  be a **field extension**. Then, the following are equivalent:

1.  $M : K$  is **finite**
2.  $M : K$  is **finitely generated and algebraic**
3. for some **finite** set  $\{\alpha_1, \dots, \alpha_n\}$  of algebraic elements of  $M$  over  $K$ :

$$M = K(\alpha_1, \dots, \alpha_n)$$

(Proposition 5.2.4)

Define  $m_i$  to be the minimal polynomial of  $\alpha_i$  over  $K$ . Since  $M : K$  is normal, each  $m_i$  splits over  $M$ , so in particular

$$f = m_1 m_2 \dots m_n \in K[t]$$

also splits in  $M$ . But then, the set of roots of  $f$  in  $M$  contains  $\{\alpha_1, \dots, \alpha_n\}$ , and we have that  $M = K(\alpha_1, \dots, \alpha_n)$ , so  $M$  is generated over  $K$  by the set of roots of  $f$ , so by definition  $M$  must be the splitting field of  $f$ , as required.

- (  $\implies$  ) Now, assume that  $M : K$  is a field extension, and that  $\exists f \in K[t]$ , such that  $M = SF_K(f)$ . We first show that  $M : K$  is finite, and then that it is normal.

①  **$M$  is finite**

Since  $M = SF_K(f)$ ,  $f$  splits over  $M$ , so let  $\alpha_1, \dots, \alpha_n$  be the roots of  $f$  in  $M$ . Then, by definition of splitting field,  $M = K(\alpha_1, \dots, \alpha_n)$ . Moreover, each  $\alpha_i$  is algebraic, since they are roots of a non-zero polynomial  $f$ , so by Proposition 5.2.4,  $M : K$  is finite.

②  **$K$  is normal**

Let  $\delta \in M$  have minimal polynomial  $m \in K[t]$ .  $m$  splits in its splitting field over  $M$ ,  $SF_M(m)$ . We need to show that if  $\varepsilon \in SF_M(m)$  is a root of  $m$ , then  $\varepsilon \in M$ . Then, we will have shown that any polynomial in  $K[t]$  splits in  $M$ .

Hence, let  $\varepsilon \in SF_M(m)$  be a root of  $m$ .  $m$  is the minimal polynomial of  $\delta$  over  $K$ , so it is a monic, irreducible polynomial over  $K$ . Since it is an annihilating polynomial for  $\varepsilon$ , it must also be its minimal polynomial. Now recall:

Let  $K$  be a **field**.

1. Let  $m \in K[t]$  be a **monic, irreducible** polynomial. Then:

$$\exists M : K, \exists \alpha \in M : M = K(\alpha)$$

where  $\alpha$  is **algebraic**, and has a **minimal polynomial**  $m$  over  $K$ .  
Moreover, if  $(M_1, \alpha_1)$  and  $(M_2, \alpha_2)$  are 2 such pairs, there is **exactly one isomorphism**:

$$\varphi : M_1 \rightarrow M_2$$

over  $K$ , such that  $\varphi(\alpha_1) = \alpha_2$ .

2. There exists an **extension**  $M : K$  and a **transcendental**  $\alpha \in M$ , such that:

$$M = K(\alpha)$$

Moreover, if  $(M_1, \alpha_1)$  and  $(M_2, \alpha_2)$  are 2 such pairs, there is **exactly one isomorphism**:

$$\varphi : M_1 \rightarrow M_2$$

over  $K$ , such that  $\varphi(\alpha_1) = \alpha_2$ .

(Theorem 4.3.16)

Thus, it follows that there exists a unique isomorphism over  $K$ :

$$\theta : K(\delta) \rightarrow K(\varepsilon)$$

such that:

$$\theta(\delta) = \varepsilon$$

Moreover, recall:

1. Let:

–  $M : S : K$  be a **field extension**

–

$$0_K \neq f \in K[t]$$

–  $Y \subseteq M$

Let  $S$  be the **splitting field** of  $f$  over  $K$ . Then,  $S(Y)$  is the **splitting field** of  $f$  over  $K(Y)$ :

$$S = SF_K(f) \implies S(Y) = SF_{K(Y)}(f)$$

2. Let:

–

$$0_K \neq f \in K[t]$$

–  $L$  be a **subfield** of  $SF_K(f)$  containing  $K$ , such that:

$$SF_K(f) : L : K$$

Then,  $SF_K(f)$  is the **splitting field** of  $f$  over  $L$ :

$$SF_K(f) = SF_L(f)$$

(Lemma 6.2.14)

Since  $M = SF_K(f)$ , by part 2 we have that:

$$M = SF_K(f) : K(\delta) : K \implies M = SF_K(f) = SF_{K(\delta)}(f)$$

Moreover, since  $SF_K(f) = K(\alpha_1, \dots, \alpha_n)$ , we can use part 1 with  $Y = \{\varepsilon\} \subseteq M$ , which results in:

$$K(\alpha_1, \dots, \alpha_n, \varepsilon) = SF_{K(\varepsilon)}(f)$$

Lastly, since  $\theta$  is a homomorphism over  $K$ , and  $f \in K[t]$ , then:

$$\theta_* f = f$$

where recall  $\theta_*$  is the canonical homomorphism of the form  $\theta_* : K(\delta)[t] \rightarrow K(\varepsilon)[t]$ .

The last step is to use:

Let:

- $\psi$  be an **isomorphism of fields**:

$$\psi : K_1 \rightarrow K_2$$

•

$$0_K \neq f \in K_1[t]$$

- $M_1$  be a **splitting field** of  $f$  over  $K_1$
- $M_2$  be a **splitting field** of  $\psi_* f$  over  $K_2$

Then:

1. there exists an **isomorphism**:

$$\varphi : M_1 \rightarrow M_2$$

which **extends**  $\psi$

2. there are **at most**  $[M : K]$  such **extensions**  $\varphi$   
(Proposition 6.2.11)

Indeed:

- $\theta$  is an isomorphism from  $K(\delta)$  to  $K(\varepsilon)$
- $f \in K[t]$  is non-zero, so certainly  $f \in K(\delta)[t]$  is non-zero
- we have that  $M_1 = M = SF_{K(\delta)}(f)$
- we have that  $M_2 = K(\alpha_1, \dots, \alpha_n, \varepsilon) = SF_{K(\varepsilon)}(f)$ , since  $\theta_* f = f$

so the theorem applies, and there exists an isomorphism:

$$\varphi : M \rightarrow K(\alpha_1, \dots, \alpha_n, \varepsilon)$$

extending  $\theta$ . Moreover, since  $\theta$  is an isomorphism over  $K$ , and  $\varphi$  extends  $\theta$ , then  $\varphi$  is also an isomorphism over  $K$ . Diagrammatically, we have:

$$\begin{array}{ccc}
 M = K(\alpha_1, \dots, \alpha_n) & \xrightarrow[\cong]{\varphi} & K(\alpha_1, \dots, \alpha_n, \varepsilon) \\
 \uparrow & & \uparrow \\
 K(\delta) & \xrightarrow[\theta]{\cong} & K(\varepsilon) \\
 & \nwarrow \quad \nearrow & \\
 & K &
 \end{array}$$

But now, notice that:

$$\delta \in M = K(\alpha_1, \dots, \alpha_n)$$



Since  $\varphi$  is an isomorphism over  $K$ , then:

$$\varphi(\delta) \in K(\varphi(\alpha_1), \dots, \varphi(\alpha_n))$$

$\varphi$  extends  $\theta$ , so by definition:

$$\varphi(\delta) = \theta(\delta) = \varepsilon \implies \varepsilon \in K(\varphi(\alpha_1), \dots, \varphi(\alpha_n))$$

Moreover, we have that:

*Let  $M_1, M_2$  be **extensions** of a **field**  $K$ . Let:*

$$\varphi : M_1 \rightarrow M_2$$

*be a **homomorphism over**  $K$ :*

$$\forall a \in K, \quad \varphi(a) = a$$

*Then, the **annihilating polynomials** of  $\alpha \in M_1$  are the **same** as the **annihilating polynomials** of  $\varphi(\alpha)$ .  
(Example 6.1.4)*

Since  $\alpha_i$  has annihilating polynomial  $f$ , it thus follows that  $\varphi(\alpha_i)$  also has  $f$  as annihilating polynomial, so:

$$f(\varphi(\alpha_i)) = 0 \implies \varphi(\alpha_i) \in \{\alpha_1, \dots, \alpha_n\}$$

But then we have shown that:

$$\varepsilon \in K(\alpha_1, \dots, \alpha_n) = M$$

so any root  $\varepsilon$  of  $f$  is also in  $M$ , so  $M : K$  is a normal extension as required. □

### 1.3.2 Corollary: Normality of Intermediate Fields

*Let  $M : L : K$  be **field extensions**. If  $M : K$  is **finite** and **normal**, then so is  $M : L$ .  
(Corollary 7.1.6)*

---

*Proof.* From the theorem above, if  $M : K$  is finite and normal, then  $M$  is a splitting field of some non-zero polynomial  $f \in K[t]$ , so  $M = SF_K(f)$ . Then, using part 2 of:

1. Let:

- $M : S : K$  be a **field extension**

- 

$$0_K \neq f \in K[t]$$

- $Y \subseteq M$

Let  $S$  be the **splitting field** of  $f$  over  $K$ . Then,  $S(Y)$  is the **splitting field** of  $f$  over  $K(Y)$ :

$$S = SF_K(f) \implies S(Y) = SF_{K(Y)}(f)$$

2. Let:

- 

$$0_K \neq f \in K[t]$$

- $L$  be a **subfield** of  $SF_K(f)$  containing  $K$ , such that:

$$SF_K(f) : L : K$$

Then,  $SF_K(f)$  is the **splitting field** of  $f$  over  $L$ :

$$SF_K(f) = SF_L(f)$$

(Lemma 6.2.14)

we must have that  $SF_K(f) = SF_L(f)$  is the splitting field of  $f$  over  $L$ ; in other words,  $M : L$  must also be normal.

□

- If  $M : L : K$  is an extension, and  $M : K$  is normal, can  $L : K$  be normal too?

– yes, since for example we can consider trivial extensions:

$$\mathbb{Q}(\sqrt{2}) : \mathbb{Q}(\sqrt{2}) : \mathbb{Q}$$

–  $\mathbb{Q}(\sqrt{2}) : \mathbb{Q}$  is a normal extension, since  $\mathbb{Q}(\sqrt{2})$  is the splitting field of  $t^2 - 2$

- What is an example of a field extension where  $M : K$  is normal, but  $L : K$  isn't?

– let  $\xi$  be the real root of  $t^3 - 2$

– we already saw that  $\mathbb{Q}(\xi) : \mathbb{Q}$  is **not** normal ( $t^3 - 2$  doesn't split in  $\mathbb{Q}(\xi)$ , as it is missing  $\omega\xi$  and  $\omega^2\xi$ , where  $\omega = e^{2\pi i/3}$ )

– now consider the extension:

$$\mathbb{Q}(\xi, \omega) : \mathbb{Q}(\xi) : \mathbb{Q}$$

- it is clear that  $\mathbb{Q}(\xi, \omega)$  is the splitting field of  $t^3 - 2$ , and so, normal (over  $\mathbb{Q}$  and  $\mathbb{Q}(\xi)$ )
- however, we know that  $\mathbb{Q}(\xi)$  isn't normal over  $\mathbb{Q}$

## 1.4 Galois Action on Normal Extensions

### 1.4.1 Proposition: Galois Maps Between Conjugates

Let  $M : K$  be a **finite normal** extension, and  $\alpha_1, \alpha_2 \in M$ . Then:

$$\alpha_1, \alpha_2 \text{ are } \mathbf{conjugate} \text{ over } K \iff \exists \varphi \in \text{Gal}(M : K) : \alpha_2 = \varphi(\alpha_1)$$

In other words, 2 elements are conjugate over  $K$  if there is an element of the **Galois Group** which maps between them.  
(Proposition 7.1.9)

*Proof.*

- (  $\Leftarrow$  ) Assume that:

$$\exists \varphi \in \text{Gal}(M : K) : \alpha_2 = \varphi(\alpha_1)$$

Since  $\varphi$  is an automorphism over  $K$ , by

Let  $M_1, M_2$  be **extensions** of a **field**  $K$ . Let:

$$\varphi : M_1 \rightarrow M_2$$

be a **homomorphism over**  $K$ :

$$\forall a \in K, \quad \varphi(a) = a$$

Then, the **annihilating polynomials** of  $\alpha \in M_1$  are the **same** as the **annihilating polynomials** of  $\varphi(\alpha)$ .  
(Example 6.1.4)

it follows that  $\alpha_1$  and  $\varphi(\alpha_1)$  have the same annihilating polynomial. But  $\varphi(\alpha_1) = \alpha_2$ , so by definition of conjugacy,  $\alpha_1, \alpha_2$  are conjugate over  $K$ .

- (  $\Rightarrow$  ) Now, assume that  $\alpha_1, \alpha_2$  are conjugate over  $K$ . By assumption,  $M : K$  is a finite and normal extension, so in particular, it is algebraic. In particular,  $\alpha_1, \alpha_2$  are algebraic over  $K$ , and since they are conjugate, they must both have the same minimal polynomial  $m \in K[t]$ .

Using:

Let  $K$  be a **field**.

1. Let  $m \in K[t]$  be a **monic, irreducible** polynomial. Then:

$$\exists M : K, \exists \alpha \in M : M = K(\alpha)$$

where  $\alpha$  is **algebraic**, and has a **minimal polynomial**  $m$  over  $K$ .  
Moreover, if  $(M_1, \alpha_1)$  and  $(M_2, \alpha_2)$  are 2 such pairs, there is **exactly one isomorphism**:

$$\varphi : M_1 \rightarrow M_2$$

over  $K$ , such that  $\varphi(\alpha_1) = \alpha_2$ .

2. There exists an **extension**  $M : K$  and a **transcendental**  $\alpha \in M$ , such that:

$$M = K(\alpha)$$

Moreover, if  $(M_1, \alpha_1)$  and  $(M_2, \alpha_2)$  are 2 such pairs, there is **exactly one isomorphism**:

$$\varphi : M_1 \rightarrow M_2$$

over  $K$ , such that  $\varphi(\alpha_1) = \alpha_2$ .

(Theorem 4.3.16)

we have that there exists a unique isomorphism over  $K$ :

$$\theta : K(\alpha_1) \rightarrow K(\alpha_2)$$

such that:

$$\theta(\alpha_1) = \alpha_2$$

Since  $M : K$  is normal, it is the splitting field of some non-zero polynomial  $f \in K[t]$ . We once again use:

1. Let:

–  $M : S : K$  be a **field extension**

–

$$0_K \neq f \in K[t]$$

–  $Y \subseteq M$

Let  $S$  be the **splitting field** of  $f$  over  $K$ . Then,  $S(Y)$  is the **splitting field** of  $f$  over  $K(Y)$ :

$$S = SF_K(f) \implies S(Y) = SF_{K(Y)}(f)$$

2. Let:

–

$$0_K \neq f \in K[t]$$

–  $L$  be a **subfield** of  $SF_K(f)$  containing  $K$ , such that:

$$SF_K(f) : L : K$$

Then,  $SF_K(f)$  is the **splitting field** of  $f$  over  $L$ :

$$SF_K(f) = SF_L(f)$$

(Lemma 6.2.14)

which implies that  $M = SF_K(f)$  is also the splitting field of  $K(\alpha_1), K(\alpha_2)$ . Moreover,  $\theta$  is a homomorphism over  $K$ , so in particular  $\theta_* f = f$ . Thus, by:

Let:

- $\psi$  be an **isomorphism of fields**:

$$\psi : K_1 \rightarrow K_2$$

–

$$0_K \neq f \in K_1[t]$$

- $M_1$  be a **splitting field** of  $f$  over  $K_1$
- $M_2$  be a **splitting field** of  $\psi_* f$  over  $K_2$

Then:

1. there exists an **isomorphism**:

$$\varphi : M_1 \rightarrow M_2$$

which **extends**  $\psi$

2. there are **at most**  $[M : K]$  such **extensions**  $\varphi$   
(Proposition 6.2.11)

there exists an automorphism  $\varphi$  of  $M$  extending  $\theta$ . Since  $\theta$  is an isomorphism over  $K$ , so is  $\varphi$ . Thus,  $\varphi$  is an automorphism of  $M$  over  $K$ , so by definition  $\varphi \in \text{Gal}(M : K)$ , and we have that:

$$\varphi(\alpha_1) = \theta(\alpha_1) = \alpha_2$$

as required.

□

#### 1.4.2 Corollary: Galois Acts Transitively on Roots

As a corollary to the above theorem, we can consider how the Galois Group acts upon the set of roots of a polynomial.

Let  $f$  be an **irreducible polynomial** over a field  $K$ . Then, the action of  $\text{Gal}_K(f)$  on the **roots** of  $f$  is **transitive**.

In other words, the action of the Galois Group on the set of roots generates **one orbit**, so from one root, we can always reach all other roots through an element of the Galois Group; thus, if  $X$  denotes the set of roots of  $f$ :

$$\forall \alpha_1, \alpha_2 \in X, \exists \varphi \in \text{Gal}_K(f) : \varphi(\alpha_1) = \alpha_2$$

(Corollary 7.1.11)

*Proof.* This follows immediately from Proposition 7.1.9 above, since  $f$  is irreducible, so all of its roots in  $SF_K(f)$  have the same minimal polynomial ( $f/c$  where  $c \in K$  is the leading coefficient of  $f$ ), and so, are

conjugate over  $K$ . Lastly, we have that  $SF_K(f) : K$  is finite and normal by Theorem 7.1.5 above, so Proposition 7.1.9 applies. □

### 1.4.3 Example: Mapping Between Roots of Unity

- consider the  $p$ th roots of unity for prime  $p$ :

$$\omega = e^{2\pi i/p}, \omega^2, \dots, \omega^{p-1}$$

- their minimal polynomial is the  $p$ th cyclotomic polynomial:

$$f(t) = 1 + t + \dots + t^{p-1} \in \mathbb{Q}[t]$$

- by Corollary 7.1.11 above, since  $f$  is irreducible over  $\mathbb{Q}$  (as we showed), then for each  $i \in \{1, \dots, p-1\}$ :

$$\exists \varphi \in \text{Gal}_{\mathbb{Q}}(f) : \varphi(\omega) = \omega^i$$

- this is **highly non-trivial**: before, we first had to manually find such a  $\varphi$ , and then arduously check root by root that it worked

- in fact, for each  $i \in \{1, \dots, p-1\}$ , there is exactly **one** element  $\varphi_i \in \text{Gal}_{\mathbb{Q}}(f)$  such that:

$$\varphi_i(\omega) = \omega^i$$

Assume this isn't the case, and that  $\exists \varphi, \phi \in \text{Gal}_{\mathbb{Q}}(f)$  such that  $\varphi \neq \phi$  but:

$$\varphi(\omega) = \omega^i = \phi(\omega)^i$$

$\varphi$  is an automorphism, so it is invertible, so:

$$\omega = (\varphi^{-1} \circ \phi)(\omega)$$

But now, the Galois Group acts faithfully on the roots, so:

$$\varphi^{-1} \circ \phi = \text{id}$$

By uniqueness of inverses,  $\phi = \varphi$ .

- this in fact tells us that:

$$\text{Gal}_{\mathbb{Q}}(f) = \{\varphi_1, \dots, \varphi_{p-1}\} \cong C_{p-1}$$

### 1.4.4 Example: Galois Group of $t^3 - 2$

- consider  $t^3 - 2$ , which has 3 distinct roots in its splitting field
- this tells us that  $G = \text{Gal}_{\mathbb{Q}}(t^3 - 2)$  is isomorphic to a subgroup of  $S_3$  (so it must be one of  $\text{id}, C_2, C_3 \cong A_3, S_3$ )
- $G$  acts transitively on the 3 roots, so it must have *at least* 3 elements (if  $\xi$  is a root, we need that there are enough elements which map  $\xi$  to each of the roots)
- thus, we have:

$$G \cong C_3 \quad \text{or} \quad G \cong S_3$$

- 2 of the roots are complex conjugates, so one of the elements of  $G$  must be complex conjugation, which is an element of order 2
- $C_3$  has no elements of order 2 by Lagrange's Theorem
- hence:

$$\text{Gal}(t^3 - 2) \cong S_3$$

### 1.4.5 Exercises

1. [Exercise 7.1.12] Show by example that Corollary 7.1.11 becomes false if you drop the word “irreducible”.

## 1.5 Theorem: Normal Extensions and Normal Subgroups

Let  $M : L : K$  be a **field extension**, with  $M : K$  **finite** and **normal**.  
Then:

1. let

$$\varphi L = \{\varphi(\alpha) \mid \alpha \in L\}$$

then

$$L : K \text{ is a } \mathbf{normal} \text{ extension} \iff \forall \varphi \in \text{Gal}(M : K), \varphi L = L$$

2. if  $L : K$  is a **normal** extension, then:

- $\text{Gal}(M : L)$  is a **normal subgroup** of  $\text{Gal}(M : K)$

•

$$\frac{\text{Gal}(M : K)}{\text{Gal}(M : L)} \cong \text{Gal}(L : K)$$

(Theorem 7.1.15)

- What does the first statement of this Theorem say?
  - an **element** of the **Galois Group** simply **permutes** the elements of a **normal extension**
  - it **fixes** the extension as a set
- What is the significance of the second statement of the Theorem
  - we know that  $\text{Gal}(M : L) \subseteq \text{Gal}(M : K)$  since the automorphism over  $L$  (which fix  $L$ ) are surely automorphisms over  $K$  (since  $K \subseteq L$ , so they fix  $K$ )
  - we also know that  $\text{Gal}(M : L) \leq \text{Gal}(M : K)$ , since both are subgroups of  $S_n$ , and  $\text{Gal}(M : K)$  contains all elements of  $\text{Gal}(M : L)$  (by the argument above)
  - this theorem tells us that, in fact,  $\text{Gal}(M : L)$  is a **normal subgroup** whenever  $M : K$  is finite and normal

*Proof.*

①



- (  $\implies$  ) Let  $\varphi \in \text{Gal}(M : K)$ , and assume that  $L : K$  is normal. We claim that  $\varphi L = L$ .

Notice,  $L : K$  is finite and normal (as  $M : K$  is finite). If we take any  $\alpha \in L$  so by Proposition 7.1.9:

*Let  $M : K$  be a **finite normal** extension, and  $\alpha_1, \alpha_2 \in M$ . Then:*

$$\alpha_1, \alpha_2 \text{ are **conjugate** over } K \iff \exists \varphi \in \text{Gal}(M : K) : \alpha_2 = \varphi(\alpha_1)$$

*In other words, 2 elements are conjugate over  $K$  if there is an element of the **Galois Group** which maps between them.  
(Proposition 7.1.9)*

$\alpha$  and  $\varphi(\alpha)$  must be conjugate over  $K$ . But then, they have the same minimal polynomial. By normality, this polynomial splits in  $L$ , which means that  $\varphi(\alpha) \in L$ , so we have that  $\varphi L \subseteq L$ . Similarly, we can take  $\alpha \in L$ , and since  $\varphi^{-1}$  is in the Galois Group, by Proposition 7.1.9 we have that  $\alpha, \varphi^{-1}(\alpha)$  are conjugate, have the same minimal polynomial, and this minimal polynomial splits in  $L$ , so  $\varphi^{-1}(\alpha) \in L \implies \alpha \in \varphi L$ , so  $L \subseteq \varphi L$ , which completes the proof.

- (  $\impliedby$  ) Now, assume that  $\forall \varphi \in \text{Gal}(M : K)$ , we have that  $\varphi L = L$ . We need to show that  $L : K$  is normal.

Let  $\alpha \in L$  have minimal polynomial  $m \in K[t]$ . By assumption,  $M : K$  is finite and normal, so  $m$  splits in  $M$ . Now, by definition,  $\alpha$  is conjugate to every other root  $\alpha'$  of  $m$  over  $K$ . Then, using Proposition 7.1.9 again, we must have that  $\exists \varphi \in \text{Gal}(M : K) : \varphi(\alpha) = \alpha'$ . But then,  $\alpha' \in \varphi L = L$  by assumption. Thus,  $m$  must split in  $L$  as well, and since it was an arbitrary polynomial,  $L : K$  must be normal

(2)

Now, assume that  $L : K$  is normal. We need to show that  $\text{Gal}(M : L)$  is a normal subgroup of  $\text{Gal}(M : K)$ . Define:

$$\varphi \in \text{Gal}(M : K) \quad \theta \in \text{Gal}(M : L)$$

To show that  $\text{Gal}(M : L) \triangleleft \text{Gal}(M : K)$ , it is sufficient to show that:

$$\varphi^{-1}\theta\varphi \in \text{Gal}(M : L)$$

An element of  $\text{Gal}(M : L)$  is an automorphism over  $L$ , so this is equivalent to:

$$\forall \alpha \in L, \quad \varphi^{-1}\theta\varphi(\alpha) = \alpha \implies \theta\varphi(\alpha) = \varphi(\alpha)$$

But using (1), since  $L : K$  is normal,  $\varphi L = L$ , so  $\varphi(\alpha) \in L$ . Since  $\theta \in \text{Gal}(M : L)$ , it fixes any element of  $L$ , so clearly:

$$\theta\varphi(\alpha) = \varphi(\alpha)$$

as required.

To prove the second part, we make use of the First Isomorphism Theorem:

Let:

$$\theta : G \rightarrow H$$

be a **group homomorphism**.

Let:

$$N := \ker(\theta)$$

so that  $N \triangleleft G$ ; and,  $\text{im}(\theta) \leq H$ .

There is an **isomorphism**:

$$\psi : G/\ker(\theta) \rightarrow \text{im}(\theta)$$

defined by:

$$\psi(gN) = \theta(g)$$

If  $\theta$  is **surjective**, then  $\text{im}(\theta) = H$ , and so:

$$G/\ker(\theta) \cong H$$

To prove the claim, it is thus sufficient to find a group homomorphism:

$$\nu : \text{Gal}(M : K) \rightarrow \text{Gal}(L : K)$$

such that  $\ker(\nu) = \text{Gal}(M : L)$ .

To this end, since  $L : K$  is normal, we know that any  $\varphi \in \text{Gal}(M : K)$  is such that  $\varphi L = L$ . In other words,  $\varphi$  permutes the elements of  $L$ , and thus, restricts to an automorphism  $\hat{\varphi}$  of  $L$ . Since  $\varphi$  is an automorphism of  $M$  over  $K$ , then  $\hat{\varphi}$  is an automorphism of  $L$  over  $K$ , so  $\hat{\varphi} \in \text{Gal}(L : K)$ . This indicates that we should define:

$$\nu(\varphi) = \hat{\varphi}$$

$\nu$  will be a group homomorphism, as it preserves function composition. What is its kernel? Well, this is the set of all automorphisms of  $M$  which act as the identity on elements of  $L$ ; that is, all automorphisms of  $M$  which fix each element of  $L$ . This is precisely the definition of  $\text{Gal}(M : L)$ , so:

$$\ker(\nu) = \text{Gal}(M : L)$$

Hence, all we have left to show is that  $\nu$  is surjective.

To do this, we need to show that we can “reach” any automorphism  $\psi$  of  $L$  over  $K$  by applying  $\nu$  to some automorphism  $\varphi$  of  $M$  over  $K$ . Notice, this is equivalent to showing that  $\varphi$  extends  $\psi$ , since by definition of homomorphism extension, we’d require that:

$$\forall a \in K, \quad \varphi(a) = \psi(a)$$

and we will just set  $\psi = \nu(\varphi)$ . In other words, we just need to show that  $\psi$  extends to some  $\varphi$ .

To do this, we can proceed as in the proof of Proposition 7.1.9. To this end, since  $M : K$  is a normal extension, it is the splitting field of some  $f \in K[t]$ . Then, from Lemma 6.2.14,  $M$  will also be the splitting field of  $f$  over  $L$ . We also have that  $\psi_* f = f$ , as  $\psi$  is a homomorphism over  $K$ , and  $f \in K[t]$ . Thus, applying Proposition 6.2.11, there exists an automorphism  $\varphi$  of  $M$  which extends  $\psi$ , so  $\nu$  is surjective, and so: by the First Isomorphism Theorem:

$$\frac{\text{Gal}(M : K)}{\text{Gal}(M : L)} \cong \text{Gal}(L : K)$$

□

### 1.5.1 Example: Normal Extensions and Normal Subgroups

Consider the extensions:

$$\mathbb{Q}(\xi, \omega) : \mathbb{Q}(\omega) : \mathbb{Q}$$

where  $\xi$  is the real root of  $t^3 - 2$ , and  $\omega = e^{2\pi i/3}$ .  $\mathbb{Q}(\xi, \omega)$  is the splitting field of  $t^3 - 2$  over  $\mathbb{Q}$ , so in particular it is a finite, normal extension of  $\mathbb{Q}$ . Similarly,  $\mathbb{Q}(\omega)$  is the splitting field of the cyclotomic polynomial  $1 + t + t^2$  over  $\mathbb{Q}$ , so it too is a finite, normal extension of  $\mathbb{Q}$ .

Using

*Let  $M : L : K$  be a **field extension**, with  $M : K$  **finite and normal**. Then:*

1. *let*

$$\varphi L = \{\varphi(\alpha) \mid \alpha \in L\}$$

*then*

$$L : K \text{ is a **normal** extension} \iff \forall \varphi \in \text{Gal}(M : K), \varphi L = L$$

2. *if  $L : K$  is a **normal** extension, then:*

- *$\text{Gal}(M : L)$  is a **normal subgroup** of  $\text{Gal}(M : K)$*

•

$$\frac{\text{Gal}(M : K)}{\text{Gal}(M : L)} \cong \text{Gal}(L : K)$$

*(Theorem 7.1.15)*

since  $\mathbb{Q}(\omega) : \mathbb{Q}$  is normal, any  $\varphi \in \text{Gal}(\mathbb{Q}(\xi, \omega) : \mathbb{Q})$  restricts to an automorphism of  $\mathbb{Q}(\omega)$ . Since:

$$\text{Gal}(\mathbb{Q}(\xi, \omega) : \mathbb{Q}) = \text{Gal}_{\mathbb{Q}}(t^3 - 2)$$

it follows that the element of  $\text{Gal}_{\mathbb{Q}}(t^3 - 2)$  fix  $\mathbb{Q}(\omega)$  as a set.

Moreover, by normality, we also have that:

$$\frac{\text{Gal}(\mathbb{Q}(\xi, \omega) : \mathbb{Q})}{\text{Gal}(\mathbb{Q}(\xi, \omega) : \mathbb{Q}(\omega))} \cong \text{Gal}(\mathbb{Q}(\omega) : \mathbb{Q})$$

Now, we showed above that:

$$\text{Gal}_{\mathbb{Q}}(t^3 - 2) \cong S_3$$

so the elements of  $\text{Gal}_{\mathbb{Q}}(t^3 - 2)$  are 6 permutations, which operate over all the roots  $\xi, \omega\xi, \omega^2\xi$ . Now, consider the elements of  $\text{Gal}(\mathbb{Q}(\xi, \omega) : \mathbb{Q}(\omega))$ . This group contains a subset of these 6 permutations which fix  $\omega$ . In particular, this means that its elements are fully determined by where they map  $\xi$  (since then we can figure out where all the other roots get mapped to). There are 3 such options, so we must have that:

$$\text{Gal}(\mathbb{Q}(\xi, \omega) : \mathbb{Q}(\omega)) \cong A_3 \cong C_3$$

Finally, we know that  $\text{Gal}(\mathbb{Q}(\omega) : \mathbb{Q}) \cong C_2$  (this just contains the identity and complex conjugation). Thus, what the isomorphism above says is that:

$$\frac{S_3}{A_3} \cong C_2$$

which is what we'd expect.

## 2 Separability

### 2.1 Motivating Separability

- How can the degree of an extension be used to bound the order of its Galois group?
  - we have that:

*Let  $f$  be a **non-zero polynomial** over a **field**  $K$ . Then:*

1. *there exists a **splitting field** of  $f$  over  $K$*
2. *any 2 **splitting fields** of  $f$  are **isomorphic** over  $K$*
3. *if  $M$  is a **splitting field** of  $f$  over  $K$ :*

$$\# \text{ of } \mathbf{automorphisms} \text{ of } M \text{ over } K \leq [M : K] \leq \deg(f)!$$

*(Theorem 6.2.13)*

- this implies that if  $M : K$  is a splitting field extension, then:

$$|\text{Gal}(M : K)| \leq [M : K]$$

- **Why is this a bound? That is, why is it an inequality?**
  - this comes from the fact that in deriving the above Theorem, we made a distinction between the **degree** of a polynomial and the number of **distinct** roots
  - after all, if there's **repeated roots**, the degree will be larger than the number of distinct roots
- **What is the purpose of separability?**
  - with **separable extensions**, we can guarantee that a polynomial has **no repeated roots** in its **splitting field**
  - this will then allow us to have that:

$$|\text{Gal}(M : K)| = [M : K]$$

### 2.2 Definition: Separable Polynomials

*An **irreducible polynomial** over a **field** is **separable** if it has **no repeated roots** in its **splitting field**.*

*Alternatively:*

- *$f \in K[t]$  is **separable** if it splits into **distinct linear factors** in  $SF_K(f)$ :*

$$f(t) = a(t - \alpha_1) \dots (t - \alpha_n)$$

- *$f$  is **separable** if and only if it has  $\deg(f)$  **distinct** roots in its **splitting field***

*(Definition 7.2.2)*

### 2.2.1 Example: Non-Separable Polynomial

- generally, most polynomials are **separable** (for instance,  $t^3 - 2$  is separable, since it has 3 distinct roots in its splitting field  $\mathbb{C}$ )
- to come up with an **irreducible polynomial** that is **inseparable** is a bit complicated
- the simplest example is:

$$f(t) = t^p - u \in K[t]$$

where  $K = \mathbb{F}_p(u)$ : the field of **rational expressions** over  $\mathbb{F}_p$  ( $p$  is prime) with an indeterminate variable symbol  $u$

- so  $f(t)$  is a polynomial, whose coefficients are rational expressions over a symbol  $u$  (in this case, the non-zero coefficients are  $1, u \in \mathbb{F}_p(u)$ )
- notice, the roots of  $f$  in  $SF_K(f)$  will be the  $p$ th roots of  $u$ , and:

*Let  $p$  be a **prime**:*

1. In a **field of characteristic  $p$** , every element has **at most one  $p$ th root**
2. In a **finite field of characteristic  $p$** , every element has **exactly one  $p$ th root**

*(Corollary 2.3.22)*

- thus, there is a **single** root of  $f$  in its splitting field, but  $\deg(f) > 1$ ,
- alternatively, one can argue by using the **Frobenius Map**:

*Let  $p$  be a **prime**, and  $R$  a **ring of characteristic  $p$** . Then:*

1. The **Frobenius map**:

$$\begin{aligned}\theta : R &\rightarrow R \\ \theta(r) &= r^p\end{aligned}$$

*is a **homomorphism**.*

2. If  $R$  is a **field**, then  $\theta$  is **injective**.
3. If  $R$  is a **finite field**, then  $\theta$  is an **automorphism** of  $R$ .

*(Proposition 2.3.20)*

from which we get that if  $\alpha$  is a root of  $f$  in  $SF_K(f)$ :

$$f(t) = t^p - u = t^p - \alpha^p = (t - \alpha)^p$$

so  $\alpha$  is a repeated root

- to show that it is irreducible, we can use contradiction, and assume it is reducible
- if this is the case, then it can be factorised into 2 non-trivial factors:

$$f(t) = (t - \alpha)^p = (t - \alpha)^i (t - \alpha)^{p-i}$$

where both factors are in  $K[t]$  and  $i \in (0, p)$

- the coefficient of  $t^{i-1}$  in  $(t - \alpha)^i$  is  $-i\alpha$ , so  $-i\alpha \in K$
- since  $i \in \mathbb{F}_p$ , it is invertible in  $K$ , so  $\alpha \in K$
- this would imply that  $u$  has a  $p$ th root in  $K = \mathbb{F}_p(u)$ , but this is impossible (we saw this in W3): assume that  $u$  has a  $p$ th root in  $\mathbb{F}_p(u)$ . In particular, this means that there exist  $f, g \in \mathbb{F}_p[u]$  such that:

$$\left(\frac{f}{g}\right)^p = u \implies f^p = ug^p$$

Considering degree:

$$\deg(f^p) = \deg(ug^p) \implies p \deg(f) = 1 + p \deg(g)$$

But this is impossible:  $p$  divides the LHS, but won't divide the RHS. Hence,  $u$  can't have a root in  $K = \mathbb{F}_p(u)$ .

## 2.3 Formal Derivatives

### 2.3.1 Motivation

- **In real analysis, how can one check if a root is repeated?**
  - say  $f(x)$  is some polynomial over  $\mathbb{R}$
  - to check if  $\alpha \in \mathbb{R}$  is a repeated root of  $f$ , we can **differentiate**  $f$ , and evaluate  $f'(x)$  at  $x = \alpha$
  - if  $f'(\alpha) = 0$ , then  $f(x)$  and  $f'(x)$  must share a linear factor  $x - \alpha$ , which implies that  $\alpha$  is a repeated root of  $f$

### 2.3.2 Definition: The Formal Derivative

Let  $K$  be a **field**, and let:

$$f(t) = \sum_{i=0}^n a_i t^i \in K[t]$$

The **formal derivative** of  $f$  is:

$$(Df)(t) = \sum_{i=1}^n i a_i t^{i-1} \in K[t]$$

(Definition 7.2.6)

### 2.3.3 Lemma: Rules for the Formal Derivative

Let  $K$  be a **field**. Then:

$$\begin{aligned}\forall f, g \in K[t], D(f + g) &= Df + Dg \\ \forall f, g \in K[t], D(fg) &= f \cdot Dg + Df \cdot g \\ \forall a \in K, Da &= 0_K\end{aligned}$$

(Lemma 7.2.7)

### 2.3.4 Lemma: Number of Roots and the Formal Derivative

This is the algebraic analogue to the real analysis test for root repetition. In fact, it gives us a way of checking for repeated roots in the splitting field, without having to know what the splitting field is!

Let  $f$  be a non-zero polynomial over a **field**  $K$ . The following are **equivalent**:

1.  $f$  has a **repeated root** in  $SF_K(f)$
2.  $f$  and  $Df$  have a **common root** in  $SF_K(f)$
3.  $f$  and  $Df$  have a **non-constant common factor** in  $K[t]$

(Lemma 7.2.9)

*Proof.*

$$\textcircled{1} \implies \textcircled{2}$$

Assume that  $f$  has a repeated root  $\alpha \in SF_K(f)$ . Then, we have that:

$$\exists g(t) \in SF_K(f)[t], : f(t) = (t - \alpha)^2 g(t)$$

Computing the formal derivative:

$$\begin{aligned}Df &= D((t - \alpha)^2 g) \\ &= 2(t - \alpha)g + (t - \alpha)^2(Dg) \\ &= (t - \alpha)(2g + (t - \alpha) \cdot Dg)\end{aligned}$$

Thus,  $\alpha \in SF_K(f)$  is a common root between  $f$  and  $Df$ .

$$\textcircled{2} \implies \textcircled{3}$$

Assume that  $f$  and  $Df$  have a common root in  $SF_K(f)$ , say  $\alpha$ . Then,  $\alpha$  will be algebraic over  $K$  (as  $f \neq 0$ ), and thus has a minimal polynomial  $g$  over  $K$ . But then,  $g$  will be a non-constant common factor shared by both  $f$  and  $Df$ , as required.

$$\textcircled{3} \implies \textcircled{2}$$

Assume that  $f$  and  $Df$  have a non-constant common factor in  $K[t]$ .  $g$  will split in  $SF_K(f)$ , and any root of  $g$  in  $SF_K(f)$  will be a common root of  $f$  and  $Df$ .

$$\textcircled{2} \implies \textcircled{1}$$

Assume that  $f$  and  $Df$  have a common root  $\alpha \in SF_K(f)$ . Then, there exists some  $g \in SF_K(f)[t]$ , such that:

$$f(t) = (t - \alpha)g(t)$$

Computing the formal derivative:

$$Df = g + (t - \alpha) \cdot Dg$$

Since  $f$  and  $Df$  have  $\alpha$  as a common root, then:

$$(Df)(\alpha) = 0 \implies g(\alpha) = 0$$

so there exists some  $h \in SF_K(f)[t]$  such that:

$$g(t) = (t - \alpha)h(t)$$

But then:

$$f(t) = (t - \alpha)^2 h(t)$$

and  $f$  has a repeated root in  $SF_K(f)$ .

□

### 2.3.5 Proposition: Separability from Formal Derivative

*Let  $f$  be an **irreducible** polynomial over a **field**. Then,  $f$  is **inseparable** if and only if:*

$$Df = 0$$

*(Proposition 7.2.10)*

---

*Proof.* Assume that  $f$  is irreducible.  $f$  is inseparable if and only if it has repeated roots in its splitting field. By Proposition 7.2.9 above, this is true if and only if  $f$  and  $Df$  have a non.-constant common factor, so  $f$  divides  $Df$ . But then:

$$\deg(Df) < \deg(f) \implies f \mid Df \iff Df = 0$$

□



### 2.3.6 Corollary: Separability from Field Characteristic

Let  $K$  be a **field**. Then:

1. If  $\text{char}(K) = 0$ , then every **irreducible** polynomial over  $K$  is **separable**.
2. If  $\text{char}(K) = p > 0$ , then for an **irreducible** polynomial  $f \in K[t]$ :

$$f \text{ is } \mathbf{inseparable} \iff f(t) = \sum_{i=0}^r b_i t^{ip}$$

where  $b_0, \dots, b_r \in K$ .

(Corollary 7.2.11)

Notice, this says that the **only** irreducible polynomials which are inseparable are those polynomials in  $t^p$  over fields of characteristic  $p$ .

*Proof.* Let  $f$  be an irreducible polynomial given by:

$$f(t) = \sum a_i t^i$$

$f$  is inseparable if and only if  $Df = 0$ . Thus,  $f$  is inseparable if and only if:

$$\forall i \geq 1, ia_i = 0$$

When  $\text{char}(K) = 0$ , by definition, this can only be the case if  $\forall i \geq 1, a_i = 0$ , so  $f$  will be a constant polynomial, which contradicts the fact that  $f$  is irreducible. Thus, in fields of characteristic 0, no irreducible polynomial can be inseparable.

Now, assume that  $\text{char}(K) = p$ . Then  $ia_i = 0$  whenever  $i$  divides  $p$ , from definition of characteristic. Hence, for the remaining cases, we must have that  $a_i = 0$ . Thus, in fields of characteristic  $p$ , the irreducible polynomials which are inseparable are those polynomials in terms of  $t^p$ .  $\square$

*In fact, it can be shown that every **irreducible** polynomial over a **finite field** is **separable**. Thus, inseparability only arises in **infinite fields of characteristic  $p$** !*

## 2.4 Definition: Separable Field Elements

Let  $M : K$  be an **algebraic extension**.  $\alpha \in M$  is **separable** over  $K$  if its **minimal polynomial** over  $K$  is **separable**.  
(Definition 7.2.13)

## 2.5 Definition: Separable Field Extension

Let  $M : K$  be an **algebraic extension**.  $M : K$  is **separable** if every element of  $M$  is **separable** over  $K$ .  
(Definition 7.2.13)

### 2.5.1 Examples: Separable and Inseparable Extensions

- since in fields of characteristic 0 all polynomials are separable, any algebraic extension  $M : K$  where  $\text{char}(K) = 0$  will be separable
- furthermore, any algebraic extension of a finite field will be a separable extension
- we saw that  $t^p - u \in \mathbb{F}_p(u)$  was an inseparable polynomial, so its splitting field will be inseparable (since the root of  $t^p - u$  has an inseparable minimal polynomial, and the root is in the splitting field)

## 2.6 The Order of the Galois Group

### 2.6.1 Lemma: Algebraicity of Intermediate Fields

Let  $M : L : K$  be **field extensions**. Then

$$M : K \text{ is } \mathbf{algebraic} \implies M : L, L : K \text{ are } \mathbf{algebraic}$$

(Exercise 7.2.15)

---

*Proof.* Assume that  $M : K$  is algebraic. Then, if  $\alpha \in M$  it has a minimal polynomial  $f \in K[t]$ . Thus,  $L : K$  must be algebraic, since  $L \subseteq M$ . Moreover,  $M : L$  must be algebraic, since  $K \subseteq L$ , so if  $\alpha$  has annihilating polynomial  $f \in K[t]$ , then  $f \in L[t]$  annihilates  $\alpha$  as well.  $\square$

### 2.6.2 Lemma: Separability of Intermediate Fields

Let  $M : L : K$  be **field extensions**, and let  $M : K$  be **algebraic**. Then:

$$M : K \text{ is } \mathbf{separable} \implies M : L, L : K \text{ are } \mathbf{separable}$$

(Lemma 7.2.16)

*Proof.* By Exercise 7.2.15 above, since  $M : K$  is algebraic, so are  $M : L$  and  $L : K$ . It is immediate that  $L : K$  is separable: if every element of  $M$  is separable over  $K$ , and  $L \subseteq M$ , then every element of  $L$  must be separable over  $K$  too.

To see why  $M : L$  must be separable, let  $\alpha \in M$ . Let  $m_L, m_K$  be the minimal polynomials of  $\alpha$  over  $L, K$  respectively.  $m_K$  is an annihilating polynomial of  $\alpha$  over  $L$  (since  $K \subseteq L$ ), so  $m_L \mid m_K$  in  $L[t]$ . Moreover,  $M : K$  is separable, so  $m_K$  splits into distinct linear factors in  $SF_K(m_K)$ . But  $m_L$  divides  $m_K$ , so  $m_L$  must also split into distinct linear factors, so  $m_L \in L[t]$  is separable, so  $\alpha$  is separable over  $L$ . □

### 2.6.3 Proposition: Counting Isomorphisms Extensions

Let:

$$\psi : K_1 \rightarrow K_2$$

be an **isomorphism of fields**, and let:

- $f \in K[t]$  be a non-zero polynomial
- $M_1$  be the **splitting field** of  $f$  over  $K_1$
- $M_2$  be the **splitting field** of  $\psi_* f$  over  $K_2$

If  $M_2 : K_2$  is **separable**, then there are **exactly**  $[M_1 : K_1]$  isomorphisms:

$$\varphi : M_1 \rightarrow M_2$$

extending  $\psi$ .  
(Proposition 7.2.17)

*Proof.* This is an adaptation of the proof for:

Let:

- $\psi$  be an **isomorphism of fields**:

$$\psi : K_1 \rightarrow K_2$$

- 

$$0_K \neq f \in K_1[t]$$

- $M_1$  be a **splitting field** of  $f$  over  $K_1$
- $M_2$  be a **splitting field** of  $\psi_* f$  over  $K_2$

Then:

1. there exists an **isomorphism**:

$$\varphi : M_1 \rightarrow M_2$$

which **extends**  $\psi$

2. there are **at most**  $[M : K]$  such **extensions**  $\varphi$   
(Proposition 6.2.11)

but since we have a separable field extension, we have  $s = \deg(\psi_* m)$ . For the inductive hypothesis, we have that  $M_2 : K_2(\alpha_j^2)$  is also separable, since  $M_2 : K_2$  is.  $\square$

#### 2.6.4 Theorem: Order of Galois Group for Normal and Separable Extensions

For every **finite, normal, separable** extension  $M : K$ :

$$|Gal(M : K)| = [M : K]$$

(Theorem 7.2.18)

*Proof.* Since  $M : K$  is finite and normal, then:

$$\exists f \in K[t] : M = SF_K(f)$$

(Theorem 7.1.5). Since  $M : K$  is separable, we can apply Theorem 7.2.17 above, using  $M = M_1 = M_2$ ,  $K = K_1 = K_2$  and  $\psi = \text{id}_K$ , which shows that there are exactly  $[M : K]$  automorphisms of  $M$  over  $K$ , as required.  $\square$

### 2.6.5 Examples: Computing Orders of Galois Groups

- if  $K$  is a field of characteristic 0, then for any  $f \in K[t]$ ,  $SF_K(f)$  also has characteristic 0 (we have a homomorphism between  $K$  and  $SF_K(f)$ , and this can only be the case if they have the same characteristic). But then, this means that:

$$|Gal_K(f)| = [SF_K(f) : K]$$

since  $SF_K(f)$  will be separable (and trivially normal and finite).

- for instance, with  $f = t^3 - 2$ , we have that:

$$SF_{\mathbb{Q}}(f) = \mathbb{Q}(\xi, \omega)$$

and so:

$$[\mathbb{Q}(\xi, \omega) : \mathbb{Q}] = [\mathbb{Q}(\xi, \omega) : \mathbb{Q}(\xi)][\mathbb{Q}(\xi) : \mathbb{Q}] = 2 \times 3 = 6$$

Hence,  $|Gal_{\mathbb{Q}}(t^3 - 2)| = 6$ . But also  $Gal_{\mathbb{Q}}(t^3 - 2) \leq S_3$ , so the only possibility is that  $Gal_{\mathbb{Q}}(t^3 - 2) = S_3$ , as we showed above.

- we can see that without separability, the above Theorem won't work. Let:

$$K = \mathbb{F}_p(u) \quad M = SF_K(t^p - u)$$

If  $\alpha$  is **the** root of  $t^p - u$ , then:

$$M = K(\alpha) \implies [M : K] = \deg_K(\alpha) = p$$

On the other hand, since  $t^p - u$  has a single (non-repeated) root, it follows by:

*Let  $f$  be a **non-zero polynomial** over a **field**  $K$ , with  $k$  **distinct** roots in  $SF_K(f)$ . Then:*

$$|Gal_K(f)| \mid k!$$

*(Corollary 6.3.14)*

that  $|Gal_K(t^p - u)| = 1 \neq p$ . So without separability, we can't use this convenient equality!

## 3 Fixed Fields

### 3.1 Recap: Fixed Set

We recall the definition of a fixed set from Week 2.

*Let  $G$  be a **group** acting on  $X$ , and consider a subset  $S \subseteq G$ . The **fixed set** of  $S$  is:*

$$Fix(S) = \{x \mid x \in X, \forall s \in S : sx = x\}$$

*(Definition 2.1.14)*

### 3.2 Lemma: Elements Fixed by Automorphisms form Subfields

Let  $M$  be a **field**. Denote with  $\text{Aut}(M)$  the **group of automorphisms** of  $M$ . Then:

$$\forall S \subseteq \text{Aut}(M), \text{Fix}(S) \text{ is a } \mathbf{subfield} \text{ of } M$$

We call  $\text{Fix}(S)$  the **fixed field** of  $S$ .  
(Lemma 7.3.1)

*Proof.* Recall the following Lemma:

Let  $K, L$  be **fields**, and let  $S$  be a subset of all **homomorphisms** of the form  $K \rightarrow L$ .

Then, the **equalizer**  $\text{Eq}(S)$  is a **subfield** of  $K$ .  
(Lemma 2.3.8)

where the equalizer is:

Let  $X, Y$  be sets, and let  $S$  be a subset of all functions of the form  $X \rightarrow Y$ .

The **equalizer** of  $S$  is:

$$\text{Eq}(S) = \{x \mid x \in X, \forall f, g \in S : f(x) = g(x)\}$$

That is, the **equalizer** is the set of all  $x \in X$  which are equal under all functions in  $S$ .

(Definition 2.3.7)

But then, notice that:

$$\text{Fix}(S) = \text{Eq}(S \cup \{id_M\})$$

Thus,  $\text{Fix}(S)$  is a subfield of  $M$ .

□

### 3.3 Theorem: Bounding Extension Degree with Subgroup Order

This result requires the most ingenious proof of the whole course.

Let  $M$  be a **field** and  $H$  a **finite subgroup** of  $\text{Aut}(M)$ . Then:

$$[M : \text{Fix}(H)] \leq |H|$$

(Theorem 7.3.3)

It must be noted that, in fact, this is an **equality**, and:

$$[M : \text{Fix}(H)] = |H|$$

*Proof.* Let  $|H| = n$ . It is sufficient to show that if we take  $n + 1$  elements of  $M$ , they are linearly dependent over  $\text{Fix}(H)$ , since then a set of linearly independent elements in  $M$  will have at most  $n$  elements, and so:

$$[M : \text{Fix}(H)] \leq |H|$$

To this end, define:

$$W = \left\{ (x_0, \dots, x_n) \in M^{n+1} \mid \forall \theta \in H, \sum_{i=0}^n x_i \theta(\alpha_i) = 0_M \right\}$$

where  $\alpha_0, \dots, \alpha_n$  are an arbitrary set of  $n + 1$  elements of  $M$ .  $W$  contains  $n + 1$ -tuples in  $M^{n+1}$ . Since there are  $n$  elements in  $H$ ,  $W$  is defined by the solutions to a system of  $n$  homogeneous equations in  $n + 1$  variables, so it is a non-trivial  $M$ -linear subspace of  $M^{n+1}$ .

Now, we claim that that if  $(x_0, \dots, x_n) \in W$  and  $\varphi \in H$ , then:

$$(\varphi(x_0), \dots, \varphi(x_n)) \in W$$

Since  $(x_0, \dots, x_n) \in W$  and  $\varphi^{-1} \circ \theta \in H$  (for any  $\theta \in H$ ), it follows by definition of  $W$  that:

$$\sum_{i=0}^n x_i (\varphi^{-1} \circ \theta)(\alpha_i) = 0$$

Applying  $\varphi$  to both sides implies that for all  $\theta \in H$ :

$$\sum_{i=0}^n \varphi(x_i) \theta(\alpha_i) = 0$$

so:

$$(\varphi(x_0), \dots, \varphi(x_n)) \in W$$

as required.

Now, let  $\underline{x} = (x_0, \dots, x_n)$  be some non-zero vector. Define its length as the unique number  $\ell \in [0, n]$  such that:

- $x_\ell \neq 0$
- $\forall j \in (\ell, n], x_j = 0$

$W$  is a non-trivial subspace, so there always exists an element of minimum length  $\ell$ . Moreover, by properties of a subspace,  $W$  is closed under scalar multiplication by elements of  $M$ , so without loss of generality, we may assume that  $x_\ell = 1$ . This element of minimum length will be of the form:

$$\underline{x} = (x_0, \dots, x_{\ell-1}, 1, 0, \dots, 0)$$

Moreover, since  $\underline{x}$  has minimal length, the only element of  $W$  of the form  $(y_0, \dots, y_{\ell-1}, 0, 0, \dots, 0)$  must be  $\underline{0}$ .

We now show that:

$$\forall i \in [0, n], x_i \in \text{Fix}(H)$$

Let  $\varphi \in H$ . We showed that:

$$(x_0, \dots, x_n) \in W \implies (\varphi(x_0), \dots, \varphi(x_n)) \in W$$

Define:

$$\underline{y} = (\varphi(x_0) - x_0, \dots, \varphi(x_n) - x_n)$$

By closure of subspaces  $\underline{y} \in W$ . Since  $\varphi$  is a field homomorphism, in particular:

$$\forall i \in (\ell, n], x_i = 0 \implies \varphi(x_i) = 0$$

Moreover, again by properties of field homomorphisms,  $\varphi$  preserves the multiplicative identity:

$$\varphi(x_\ell) = 1 \implies \varphi(x_\ell) - x_\ell = 0$$

Hence,

$$\underline{y} = (\varphi(x_0) - x_0, \dots, \varphi(x_{\ell-1}) - x_{\ell-1}, 0, \dots, 0)$$

so by the previous argument,  $\underline{y} = \underline{0}$ , which implies that:

$$\forall i \in [0, n], \varphi(x_i) = x_i \implies x_i \in \text{Fix}(H)$$

Overall, this shows that there is a non-zero vector  $\underline{x} \in \text{Fix}(H)^{n+1}$ . Moreover, if we now take  $\theta = id$  in the definition of  $W$ , and use  $\underline{x}$ , we get that we have found coefficients in  $\text{Fix}(H)$ , not all of which are 0, such that:

$$\sum_{i=0}^n x_i \theta(\alpha_i) = \sum_{i=0}^n x_i \alpha_i = 0$$

Hence, the set of  $n + 1$  elements in  $M$   $\{\alpha_0, \dots, \alpha_n\}$  is linearly dependent over  $\text{Fix}(H)$ , which implies that:

$$[M : \text{Fix}(H)] \leq n = |H|$$

□

### 3.4 Proposition: Fixed Field Yields a Normal Extension

Let  $M : K$  be a **finite normal extension**, and let  $H$  be a **normal subgroup** of  $\text{Gal}(M : K)$ . Then,  $\text{Fix}(H) : K$  is **normal**.  
(Proposition 7.3.7)



*Proof.*  $H$  is a group containing automorphisms of  $M$  over  $K$ , so  $K \subseteq \text{Fix}(H)$ . Now, recall:

Let  $G$  be a **group** acting on  $X$ , and consider a subset  $S \subseteq G$ .

Then:

$$\forall g \in G : \text{Fix}(gSg^{-1}) = g\text{Fix}(S)$$

(Lemma 2.1.15)

Taking  $G = \text{Gal}(M : K)$  and  $S = H$ , we get that for any  $\varphi \in \text{Gal}(M : K)$ :

$$\varphi\text{Fix}(H) = \text{Fix}(\varphi H \varphi^{-1})$$

But then, since  $H$  is a normal subgroup of  $\text{Gal}(M : K)$ :

$$\text{Fix}(\varphi H \varphi^{-1}) = \text{Fix}(H)$$

Hence, we have shown that for any  $\varphi \in \text{Gal}(M : K)$ , we have:

$$\varphi\text{Fix}(H) = \text{Fix}(H)$$

so  $\text{Fix}(H) : K$  is a normal extension by

Let  $M : L : K$  be a **field extension**, with  $M : K$  **finite** and **normal**.

Then:

1. let

$$\varphi L = \{\varphi(\alpha) \mid \alpha \in L\}$$

then

$$L : K \text{ is a } \mathbf{normal} \text{ extension} \iff \forall \varphi \in \text{Gal}(M : K), \varphi L = L$$

2. if  $L : K$  is a **normal** extension, then:

- $\text{Gal}(M : L)$  is a **normal subgroup** of  $\text{Gal}(M : K)$

•

$$\frac{\text{Gal}(M : K)}{\text{Gal}(M : L)} \cong \text{Gal}(L : K)$$

(Theorem 7.1.15)

□